§ 1260.71

instructions regarding actions requiring ONR involvement. The grant officer will inform the recipient, in writing, that a delegation has been made.

(c) ONR will acknowledge this delegation by returning a NF 1431 to the grant officer within five days of receipt.

§ 1260.71 Supplements and renewals.

- (a) A NASA grant officer can unilaterally make minor or administrative changes to a grant; e.g., Reports Substitution (§1260.55), Withholding (§1260.56), and Novations (§1260.74). Renewal of grant (§1260.22) is a unilateral decision by NASA based upon availability of funds, continued research relevance, and progress made by the recipient.
- (b) To eliminate the paperwork burdens associated with closeout and negotiations for a new grant, ongoing efforts to continue the same effort at the same institution will be accomplished by modifying the current instrument, unless there is a significant change in the nature of the work or closure would be in the best interest of the Government.
- (1) Grants should be renewed prior to their expiration date. When possible, the period of performance should be continuous with the prior grant. If otherwise acceptable, NASA may fund an extension through a multiple year grant (§1260.13(a)) or by extending the existing grant. Such extensions (other than no-cost extensions) must be supported by a new proposal from the recipient.
- (2) To insure continuation, the technical office should forward to the grant office a complete technical evaluation, support documentation, and/or a funded Procurement Request at least 45 days before the expiration of the funded period.
- Although the grant officer has little control over the timely receipt of purchase requests, he/she is responsible for informing the technical officer of current lead-time requirements and for timely processing continuation agreements.
- (3) The technical office will notify the recipient if the grant is to be funded or if additional information is required. Alternatively, if a grant is not

- to be renewed, the program office should give the grant officer and the recipient 30-day notice.
- (c) Requests by the recipient to modify a grant must be in writing to the grant officer. Examples might include changes in principal investigator or additional funding. After concurrence by the program manager, a grant supplement will be unilaterally issued at the discretion of the grant officer.
- (d) A single no-cost extension for up to 12 months can be unilaterally issued by the recipient as detailed in paragraph (b) of the provision §1260.22, Extensions.
- (e) When two or more actions are completed on a single supplement, the supplement will reflect the effective date of the earliest action.

§ 1260.72 Adherence to original budget estimates.

- (a) Although NASA assumes no responsibility for budget overruns, the recipient may spend grant funds without strict adherence to individual allocations within the proposed budgets, except as provided in §§ 1260.27 and 1260.32.
- (b) The revision of budgets and program plans are covered in §1260.125.

§ 1260.73 Transfers, novations, and change of name agreements.

- (a) Transfer of grants. Novation as provided in §1260.73(b), is the only means by which a grant may be transferred from one institution to another. principal the investigator changes organizational affiliation and desires support for the research at a new location and novation is not used, a new proposal must be submitted to NASA via the appropriate officials of the new institution. Although such a proposal will be reviewed in the normal manner, every effort will be made to expedite a decision. Regardless of the action taken on the new proposal, final reports on the original grant, describing the scientific progress and expenditure to date, will be required.
- (b) Novation and change of name. All novation agreements and change of name agreements of the recipient, prior to execution, shall be reviewed by legal counsel for legal sufficiency.